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BEFORE THE
MISSOURI GAMING COMMISSION

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Meeting

6

April 28, 2021

9:30 a.m.

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3417 Knipp Drive

Jefferson City, Missouri 65109

8

9 BEFORE:

Mike Leara, Chairman

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Brandon Boulware, Commissioner

Pat Conway, Commissioner

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David W. Hane, Commissioner

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Reported by:

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Patricia A. Stewart, CCR 401

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I N D E X

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I. Call to Order 3:3

II. Adoption of Agenda 3:15

III. Consideration of Minutes 4:6

 A. March 12, 2021

 B. March 31, 2021

IV. Consideration of Placement on Exclusion List

 C. Matthew R. Peterson

 1. Resolution No. 21-018 5:6

V. Consideration of Relicensure of Certain Suppliers 7:19

 D. Aristocrat Technologies, Inc.

 1. Resolution No. 21-019

 E. Interblock USA, L.C.

 1. Resolution No. 21-020

 F. Interblock d.d. Corporation

 1. Resolution No. 21-021

VI. Consideration of Licensure of Certain Level I/Key Applicants 11:7

 G. Resolution No. 21-022

VII. Motion for Closed Meeting under Sections 313.847, RSMo., Investigatory, Proprietary and Application Records and 610.021(1), RSMo., Legal Actions and Matters Subject to Attorney Client Privilege and Legal Work Product, (3) & (13) Personnel, (12) Contracts and (14) Records Protected by Law 13:12

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1 (Start time: 9:30 a.m.)

2 P R O C E E D I N G S

3 CHAIRMAN LEARA: Okay. We'll call the
4 Commission meeting to order.

5 Angie, please call the roll.

6 MS. FRANKS: Commissioner Hane.

7 COMMISSIONER HANE: Present.

8 MS. FRANKS: Commissioner Conway.

9 COMMISSIONER CONWAY: Present.

10 MS. FRANKS: Commissioner Boulware.

11 COMMISSIONER BOULWARE: Present.

12 MS. FRANKS: Chairman Leara.

13 CHAIRMAN LEARA: Present.

14 We have a quorum.

15 We will now move for the adoption of the
16 agenda as written. Are there any changes?

17 Seeing none, is there a second?

18 COMMISSIONER HANE: Second.

19 CHAIRMAN LEARA: We have a second.

20 Angie, please call the roll.

21 MS. FRANKS: Commissioner Hane.

22 COMMISSIONER HANE: Approved.

23 MS. FRANKS: Commissioner Conway.

24 COMMISSIONER CONWAY: Approved.

25 MS. FRANKS: Commissioner Boulware.

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1 COMMISSIONER BOULWARE: Approved.

2 MS. FRANKS: Chairman Leara.

3 CHAIRMAN LEARA: Approved.

4 MS. FRANKS: By your vote you've adopted the
5 agenda.

6 CHAIRMAN LEARA: The next item on the agenda
7 is consideration of minutes from March 12th, 2021 --
8 Angie, do we do these separately?

9 MS. FRANKS: You can do them together.

10 CHAIRMAN LEARA: -- and for the minutes of
11 March 31st, 2021.

12 Are there any changes?

13 Seeing none I move for the approval of the
14 minutes for those two dates.

15 Is there a second?

16 COMMISSIONER CONWAY: Second.

17 CHAIRMAN LEARA: We'll go with Mr. Conway's
18 second. Thank you.

19 No discussion.

20 Angie, please call the roll.

21 MS. FRANKS: Commissioner Hane.

22 COMMISSIONER HANE: Approved.

23 MS. FRANKS: Commissioner Conway.

24 COMMISSIONER CONWAY: Approved.

25 MS. FRANKS: Commissioner Boulware.

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1 COMMISSIONER BOULWARE: Approved.

2 MS. FRANKS: Chairman Leara.

3 CHAIRMAN LEARA: Approved.

4 MS. FRANKS: By your vote you've adopted the
5 minutes of the March 12th and March 31st, 2021 meetings.

6 CHAIRMAN LEARA: The next item on the agenda
7 is consideration of placement on the exclusion list,
8 Resolution No. 21-018.

9 Carolyn, you have the floor when you're
10 ready.

11 MS. KERR: Thank you.

12 Good morning, Mr. Chairman, Commissioners.

13 11 CSR 45-15.030 gives the Missouri Gaming
14 Commission the authority to place a person on the
15 involuntary exclusion list if they're convicted of a
16 felony crime involving moral turpitude or gaming. Once
17 on the list that individual can no longer enter any
18 portion of a riverboat gaming operation.

19 In Tab C we're asking that Matthew Peterson
20 be placed on the involuntary exclusion list because he
21 pled guilty to felony bank fraud and felony money
22 laundering in the U.S. District Court of the Western
23 District of Missouri on March 10th, 2021.

24 He was sentenced to Federal prison for eight
25 years without parole, followed by supervised release,

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1 ordered to forfeit \$25,500 and pay over \$2.5 million in
2 restitution to his victims.

3 As part of his supervised release,
4 Mr. Peterson will be prohibited from any form of
5 gambling. Mr. Peterson admitted he ran an investment
6 fraud scheme from 2012 to November 2016, during which he
7 persuaded individuals and businesses to invest
8 approximately \$6 million in his sports betting
9 investments.

10 He lied to potential investors about being an
11 expert on sports betting and creating fictitious
12 spreadsheets, accounts and game reports that indicated
13 the investments yielded great returns. He also admitted
14 running a multi-million dollar Ponzi scheme.

15 Mr. Peterson used approximately \$3.3 million
16 of the approximately \$6 million raised from those
17 investors to make payments back to the investors and the
18 rest are on personal expenses, including gambling;
19 therefore, we ask that Mr. Peterson be placed on the
20 involuntary exclusion list.

21 CHAIRMAN LEARA: Thank you. Do we have any
22 questions for Ms. Kerr?

23 Seeing none, Carolyn, thank you.

24 Is Mr. Peterson in the room?

25 We're giving him the opportunity if he showed

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1 up. I do not see or no one is here to speak on his
2 behalf.

3 I will move for the approval of Resolution
4 No. 21-018 and ask for a second.

5 COMMISSIONER CONWAY: Second.

6 CHAIRMAN LEARA: We have a second.

7 Any discussion?

8 Seeing none, Angie, please call the roll.

9 MS. FRANKS: Commissioner Hane.

10 COMMISSIONER HANE: Approved.

11 MS. FRANKS: Commissioner Conway.

12 COMMISSIONER CONWAY: Approved.

13 MS. FRANKS: Commissioner Boulware.

14 COMMISSIONER BOULWARE: Abstain.

15 MS. FRANKS: Chairman Leara.

16 CHAIRMAN LEARA: Approved.

17 MS. FRANKS: By your vote you've adopted
18 Resolution No. 21-018.

19 CHAIRMAN LEARA: Next on the agenda is
20 consideration of relicensure of certain suppliers.

21 The first one, Aristocrat Technologies,
22 Resolution No. 21-019.

23 State your name and proceed when you're
24 ready.

25 SERGEANT SEATON: Mr. Chairman and

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1 Commissioners, good morning. I'm Sergeant Sam Seaton.

2 Missouri State Highway Patrol investigators
3 and Missouri Gaming Commission financial analysts
4 conducted three supplier license renewal investigations
5 for the purpose of assisting the Commission in
6 determining the continued suitability of Aristocrat
7 Technologies, Inc., Interblock USA, L.C. and
8 Interblock d.d. Corporation.

9 These investigations consisted of, but were
10 not limited to, financial reviews, feedback from
11 Missouri gaming facility operators, national and
12 international jurisdictional inquiries, a review of
13 disciplinary actions, litigation, business credit
14 profiles and a review of the key persons associated with
15 the companies.

16 The results of these investigations were
17 provided to the MGC staff for their review, and I would
18 be happy to answer any questions you may have.

19 CHAIRMAN LEARA: Sergeant, are you here
20 presenting all three or Aristocrat only at this time?

21 SERGEANT SEATON: My understanding is they
22 were going to be three separate resolutions but the
23 presentation is for one, which will be inclusive of all
24 three.

25 CHAIRMAN LEARA: So we are considering

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1 Aristocrat only at this moment, that one resolution.

2 Okay. Any questions for the sergeant?

3 Seeing none, thank you.

4 Anyone from Aristocrat here?

5 COMMISSIONER HANE: Motion to approve.

6 CHAIRMAN LEARA: I will second your motion.

7 Any discussion?

8 Seeing none, Angie, please call the roll.

9 MS. FRANKS: Commissioner Hane.

10 COMMISSIONER HANE: Approved.

11 MS. FRANKS: Commissioner Conway.

12 COMMISSIONER CONWAY: Approved.

13 MS. FRANKS: Commissioner Boulware.

14 COMMISSIONER BOULWARE: Approved.

15 MS. FRANKS: Chairman Leara.

16 CHAIRMAN LEARA: Approved.

17 MS. FRANKS: By your vote you've adopted

18 Resolution 21-019.

19 CHAIRMAN LEARA: The next item on the agenda

20 is Interblock USA, Resolution No. 21-020.

21 Is there any discussion?

22 First of all, I'll make a motion to approve.

23 Is there a second?

24 COMMISSIONER BOULWARE: Second.

25 CHAIRMAN LEARA: We have a second from

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1 Mr. Boulware.

2 Any discussion?

3 Seeing none, Angie.

4 MS. FRANKS: Commissioner Hane.

5 COMMISSIONER HANE: Approved.

6 MS. FRANKS: Commissioner Conway.

7 COMMISSIONER CONWAY: Approved.

8 MS. FRANKS: Commissioner Boulware.

9 COMMISSIONER BOULWARE: Approved.

10 MS. FRANKS: Chairman Leara.

11 CHAIRMAN LEARA: Approved.

12 MS. FRANKS: By your vote you've adopted

13 Resolution 21-020.

14 CHAIRMAN LEARA: The next item is

15 Interblock d.d. Corporation, Resolution No. 21-021. I

16 will move for the approval.

17 Is there a second?

18 COMMISSIONER HANE: Second.

19 CHAIRMAN LEARA: We have a second, Mr. Hane.

20 Any discussion?

21 Seeing none, Angie, please call the roll.

22 MS. FRANKS: Commissioner Hane.

23 COMMISSIONER HANE: Approved.

24 MS. FRANKS: Commissioner Conway.

25 COMMISSIONER CONWAY: Approved.

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1 MS. FRANKS: Commissioner Boulware.

2 COMMISSIONER BOULWARE: Approved.

3 MS. FRANKS: Chairman Leara.

4 CHAIRMAN LEARA: Approved.

5 MS. FRANKS: By your vote you've adopted

6 Resolution No. 21-021.

7 CHAIRMAN LEARA: The next item on the agenda
8 is consideration of licensure of certain Level I and Key
9 applicants.

10 Sergeant Holcomb, you have the floor when
11 you're ready.

12 SERGEANT HOLCOMB: Thank you, Mr. Chairman.
13 Good morning, Commissioners.

14 Missouri State Highway Patrol investigators
15 and Missouri Gaming Commission financial investigators
16 conducted comprehensive background investigations on key
17 and Level I applicants. The investigations included,
18 but were not limited to, civil, criminal, financial and
19 general character inquiries that were made in the
20 jurisdictions where the applicants have lived, worked
21 and frequented.

22 The following applicants are being presented
23 for your consideration: Christie A. Hill, Chief Legal
24 Officer for Aristocrat Technologies, Incorporated;
25 Michael James Spatz, Casino Manager, Argosy Riverside

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1 Casino; Paul De Jongh, Director, Brepols Group NV;
2 Istvan Margareta Lagaert, Director, Carta Mundi,
3 Incorporated; Atul Bali, Director, Everi Holdings,
4 Incorporated; Massimiliano Chiara, Chief Financial
5 Officer and Director, International Game Technology,
6 PLC; Becky Ann Boyles, Safety and Security Manager,
7 St. Jo Frontier Casino.

8 Investigative findings were provided to the
9 Missouri Gaming Commission staff for their review and
10 investigators are available to answer any questions you
11 might have.

12 CHAIRMAN LEARA: The reports are complete I
13 assume and nothing else to add?

14 SERGEANT HOLCOMB: Correct.

15 CHAIRMAN LEARA: Okay. Any questions for the
16 sergeant?

17 Okay. Angie, we're doing these in one block
18 motion?

19 MS. FRANKS: Yes.

20 CHAIRMAN LEARA: Okay. Thank you, Sergeant.

21 I will move for the approval of Resolution
22 No. 21-022 and look for a second.

23 COMMISSIONER CONWAY: Second.

24 CHAIRMAN LEARA: Second.

25 Any discussion?

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1 Seeing none, Angie, please call the roll.

2 MS. FRANKS: Commissioner Hane.

3 COMMISSIONER HANE: Approved.

4 MS. FRANKS: Commissioner Conway.

5 COMMISSIONER CONWAY: Approved.

6 MS. FRANKS: Commissioner Boulware.

7 COMMISSIONER BOULWARE: Approved.

8 MS. FRANKS: Chairman Leara.

9 CHAIRMAN LEARA: Approved.

10 MS. FRANKS: By your vote you've adopted

11 Resolution No. 21-022.

12 CHAIRMAN LEARA: The next item on the agenda,

13 I will make a motion for closed meeting under

14 Sections 313.847 of the Revised Statutes of Missouri,

15 investigatory, proprietary and application records, and

16 Section 610.021, Subsection 1, Revised Statutes of

17 Missouri, legal actions and matters subject to attorney

18 client privilege and legal work product; Section 3 and

19 13 personnel, Section 12 contracts, Section 14 records

20 protected from disclosure by law.

21 Is there a second?

22 COMMISSIONER BOULWARE: Second.

23 CHAIRMAN LEARA: Second, Mr. Boulware.

24 Any discussion?

25 Seeing none, Angie, please call the roll.

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1 MS. FRANKS: Commissioner Hane.

2 COMMISSIONER HANE: Approved.

3 MS. FRANKS: Commissioner Conway.

4 COMMISSIONER CONWAY: Approved.

5 MS. FRANKS: Commissioner Boulware.

6 COMMISSIONER BOULWARE: Approved.

7 MS. FRANKS: Chairman Leara.

8 CHAIRMAN LEARA: Approved.

9 We will now be in closed. Thank you.

10 WHEREIN, the meeting concluded at 9:48 a.m.

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CERTIFICATE OF REPORTER

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I, Patricia A. Stewart, CCR, a Certified

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Court Reporter in the State of Missouri, do hereby

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certify that the foregoing testimony was taken by me to

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the best of my ability and thereafter reduced to

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typewriting under my direction; that I am neither

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counsel for, related to, nor employed by any of the

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parties to the action in which this testimony was taken,

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nor financially or otherwise interested in the outcome

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of the action.

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Patricia A. Stewart

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CCR 401

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MISSOURI GAMING COMMISSION
Second Open Session Minutes
April 28, 2021

The Missouri Gaming Commission (the “Commission”) went into the second open session at approximately 11:50 a.m. on April 28, 2021, at the Missouri Gaming Commission, 3417 Knipp Drive, Jefferson City, Missouri.

Chairman Leara moved to adjourn the open session meeting. Commissioner Boulware seconded the motion, and after a roll call vote was taken, Hane – yes, Conway – yes, Boulware – yes, and Leara – yes, the motion passed unanimously.

The second open session meeting ended at 11:51 a.m.